#### **8 Priority Queues**

A Priority Queue *S* is a dynamic set data structure that supports the following operations:

- ► S. build(x<sub>1</sub>,..., x<sub>n</sub>): Creates a data-structure that contains just the elements x<sub>1</sub>,..., x<sub>n</sub>.
- *S*. insert(*x*): Adds element *x* to the data-structure.
- element *S*. minimum(): Returns an element  $x \in S$  with minimum key-value key[x].
- element S. delete-min(): Deletes the element with minimum key-value from S and returns it.
- boolean S. is-empty(): Returns true if the data-structure is empty and false otherwise.

Sometimes we also have

• S. merge(S'):  $S := S \cup S'$ ;  $S' := \emptyset$ .

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303

305

# Dijkstra's Shortest Path Algorithm

Al	<b>gorithm 14</b> Shortest-Path $(G = (V, E, d), s \in V)$
1:	<b>Input:</b> weighted graph $G = (V, E, d)$ ; start vertex s;
2:	<b>Output:</b> key-field of every node contains distance from <i>s</i> ;
3:	S.build(); // build empty priority queue
4:	for all $v \in V \setminus \{s\}$ do
5	$v.\text{key} \leftarrow \infty;$
6:	$h_v \leftarrow S.insert(v);$
7:	$s$ . key $\leftarrow 0$ ; $S$ .insert( $s$ );
8:	while <i>S</i> .is-empty() = false <b>do</b>
9:	$v \leftarrow S.delete-min();$
10	for all $x \in V$ s.t. $(v, x) \in E$ do
11	if $x$ . key > $v$ . key + $d(v, x)$ then
12	S.decrease-key( $h_x$ , $v$ . key + $d(v, x)$ );
13	$x. \operatorname{key} \leftarrow v. \operatorname{key} + d(v, x);$

# 8 Priority Queues

#### **8 Priority Queues**

An addressable Priority Queue also supports:

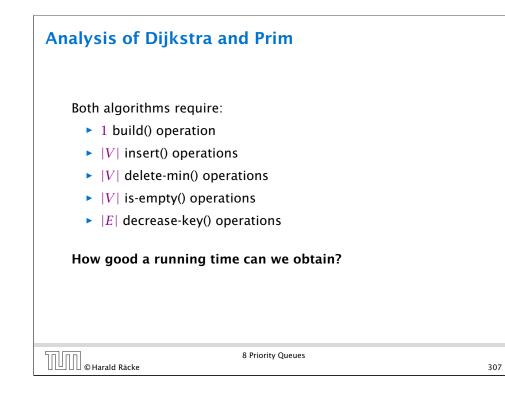
- handle S. insert(x): Adds element x to the data-structure, and returns a handle to the object for future reference.
- S. delete(h): Deletes element specified through handle h.
- S. decrease-key(h, k): Decreases the key of the element specified by handle h to k. Assumes that the key is at least k before the operation.

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8 Priority Queues

Algo	rithm 15 Prim-MST $(G = (V, E, d), s \in V)$
: In	<b>put:</b> weighted graph $G = (V, E, d)$ ; start vertex s;
0	utput: pred-fields encode MST;
S.	build(); // build empty priority queue
fo	or all $v \in V \setminus \{s\}$ do
	$v.\text{key} \leftarrow \infty;$
:	$h_v \leftarrow S.insert(v);$
<i>s</i> .	key $\leftarrow 0$ ; <i>S</i> .insert( <i>s</i> );
w	hile $S.$ is-empty() = false <b>do</b>
	$v \leftarrow S.delete-min();$
):	for all $x \in V$ s.t. $\{v, x\} \in E$ do
:	if $x$ . key > $d(v, x)$ then
:	S.decrease-key( $h_x$ , $d(v, x)$ );
8:	$x$ . key $\leftarrow d(v, x);$
:	x.pred $\leftarrow v$ ;

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# 8 Priority Queues Using Binary Heaps, Prim and Dijkstra run in time $O((|V| + |E|) \log |V|)$ . Using Fibonacci Heaps, Prim and Dijkstra run in time $O(|V| \log |V| + |E|)$ .

#### **8 Priority Queues**

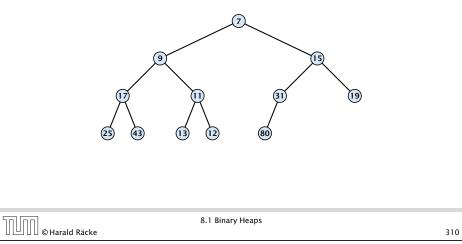
Operation	Binary Heap	BST	Binomial Heap	Fibonacci Heap*
build	п	$n \log n$	$n\log n$	n
minimum	1	$\log n$	$\log n$	1
is-empty	1	1	1	1
insert	$\log n$	$\log n$	$\log n$	1
delete	$\log n^{**}$	$\log n$	$\log n$	$\log n$
delete-min	$\log n$	$\log n$	$\log n$	$\log n$
decrease-key	$\log n$	$\log n$	$\log n$	1
merge	п	$n \log n$	$\log n$	1

Note that most applications use **build()** only to create an empty heap which then costs time 1.

* Fibonacci heaps only give an	** The standard version of binary heaps is not address-
amortized guarantee.	able. Hence, it does not support a delete.

#### 8.1 Binary Heaps

- Nearly complete binary tree; only the last level is not full, and this one is filled from left to right.
- Heap property: A node's key is not larger than the key of one of its children.



#### **Binary Heaps**

#### **Operations:**

- **minimum()**: return the root-element. Time  $\mathcal{O}(1)$ .
- **is-empty():** check whether root-pointer is null. Time O(1).

החוחר	8.1 Binary Heaps	
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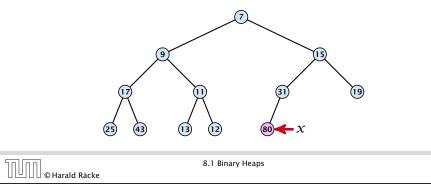
# 8.1 Binary Heaps

Maintain a pointer to the last element *x*.

► We can compute the successor of x (last element when an element is inserted) in time O(log n).

go up until the last edge used was a left edge. go right; go left until you reach a null-pointer.

if you hit the root on the way up, go to the leftmost element; insert a new element as a left child;



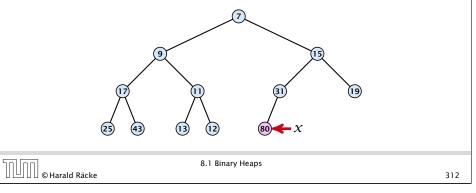
#### 8.1 Binary Heaps

Maintain a pointer to the last element *x*.

► We can compute the predecessor of x (last element when x is deleted) in time O(log n).

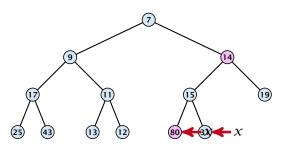
go up until the last edge used was a right edge. go left; go right until you reach a leaf

if you hit the root on the way up, go to the rightmost element



#### Insert

- **1.** Insert element at successor of *x*.
- 2. Exchange with parent until heap property is fulfilled.

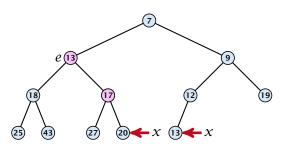


Note that an exchange can either be done by moving the data or by changing pointers. The latter method leads to an addressable priority queue.

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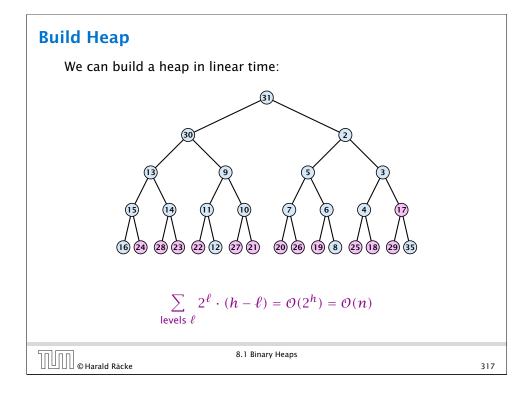
#### Delete

- 1. Exchange the element to be deleted with the element *e* pointed to by *x*.
- **2.** Restore the heap-property for the element *e*.



At its new position e may either travel up or down in the tree (but not both directions).

החוחר	8.1 Binary Heaps	
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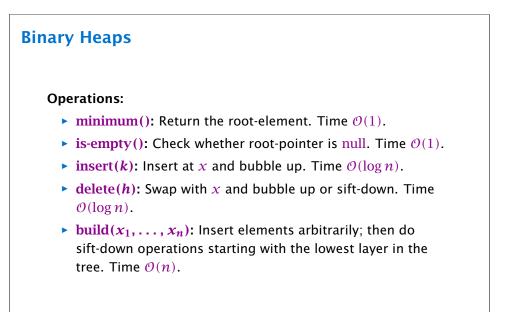
#### **Binary Heaps**

#### **Operations:**

- **minimum()**: return the root-element. Time  $\mathcal{O}(1)$ .
- **is-empty():** check whether root-pointer is null. Time O(1).
- **insert**(*k*): insert at *x* and bubble up. Time  $O(\log n)$ .
- **delete**(*h*): swap with x and bubble up or sift-down. Time  $O(\log n)$ .

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8.1 Binary Heaps



#### **Binary Heaps**

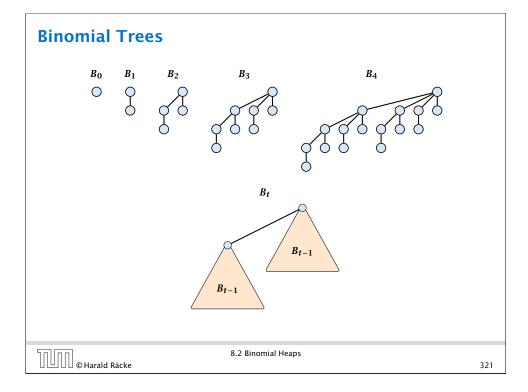
The standard implementation of binary heaps is via arrays. Let  $A[0, \ldots, n-1]$  be an array

- The parent of *i*-th element is at position  $\lfloor \frac{i-1}{2} \rfloor$ .
- The left child of *i*-th element is at position 2i + 1.
- The right child of *i*-th element is at position 2i + 2.

Finding the successor of x is much easier than in the description on the previous slide. Simply increase or decrease x.

The resulting binary heap is not addressable. The elements don't maintain their positions and therefore there are no stable handles.

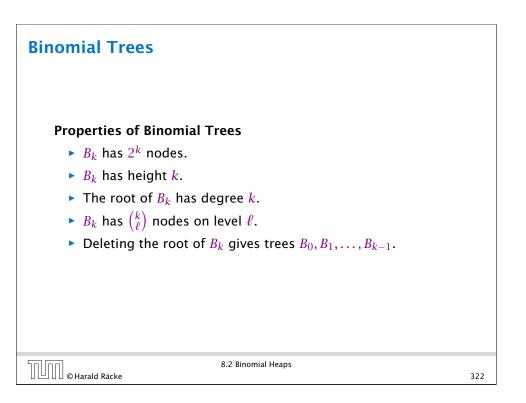
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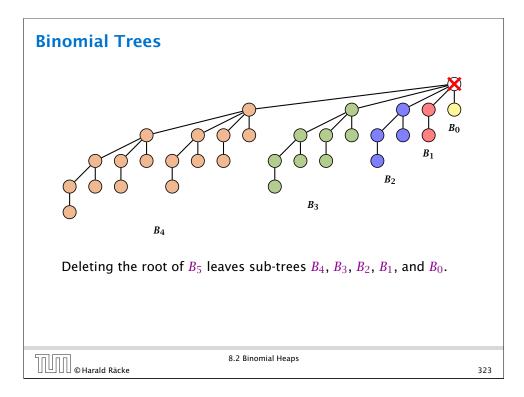


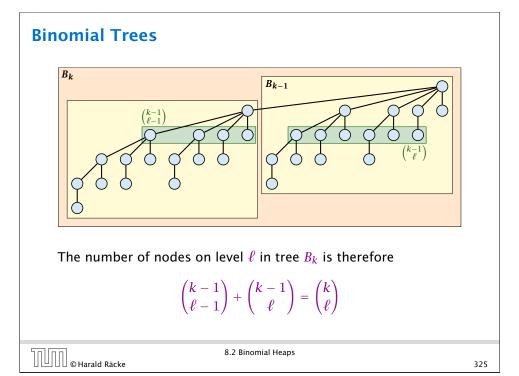
#### 8.2 Binomial Heaps

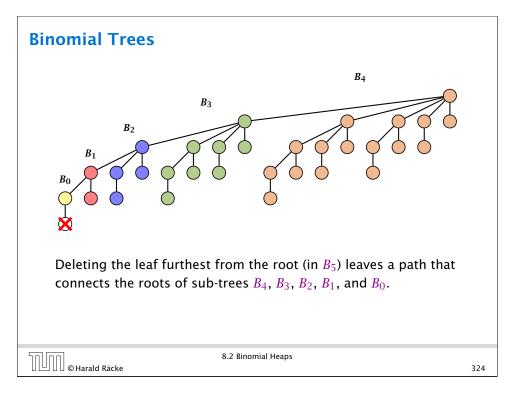
Operation	Binary Heap	BST	Binomial Heap	Fibonacci Heap*
build	n	$n\log n$	$n \log n$	n
minimum	1	$\log n$	$\log n$	1
is-empty	1	1	1	1
insert	$\log n$	$\log n$	$\log n$	1
delete	$\log n^{**}$	$\log n$	$\log n$	$\log n$
delete-min	$\log n$	$\log n$	$\log n$	$\log n$
decrease-key	$\log n$	$\log n$	$\log n$	1
merge	n	$n\log n$	log n	1

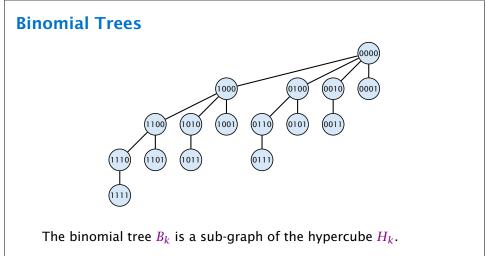
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The parent of a node with label  $b_n, \ldots, b_1, b_0$  is obtained by setting the least significant 1-bit to 0.

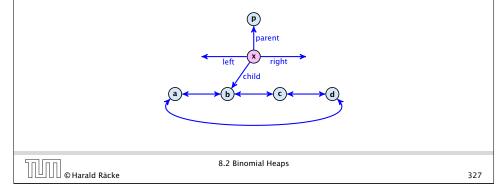
The  $\ell\text{-th}$  level contains nodes that have  $\ell$  1's in their label.

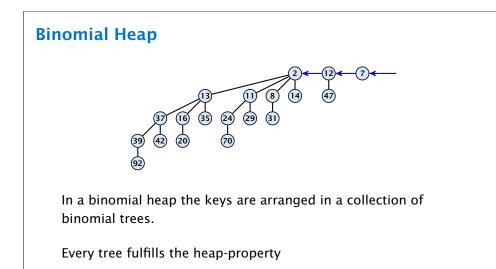


#### 8.2 Binomial Heaps

How do we implement trees with non-constant degree?

- The children of a node are arranged in a circular linked list.
- A child-pointer points to an arbitrary node within the list.
- A parent-pointer points to the parent node.
- Pointers x.left and x.right point to the left and right sibling of x (if x does not have siblings then x.left = x.right = x).





There is at most one tree for every dimension/order. For example the above heap contains trees  $B_0$ ,  $B_1$ , and  $B_4$ .

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329

# 8.2 Binomial Heaps

- Given a pointer to a node x we can splice out the sub-tree rooted at x in constant time.
- We can add a child-tree T to a node x in constant time if we are given a pointer to x and a pointer to the root of T.

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8.2 Binomial Heaps

328

# **Binomial Heap: Merge**

Given the number n of keys to be stored in a binomial heap we can deduce the binomial trees that will be contained in the collection.

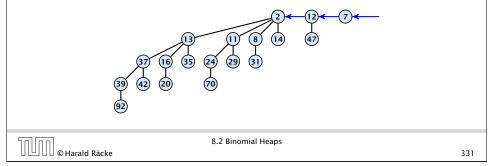
Let  $B_{k_1}$ ,  $B_{k_2}$ ,  $B_{k_3}$ ,  $k_i < k_{i+1}$  denote the binomial trees in the collection and recall that every tree may be contained at most once.

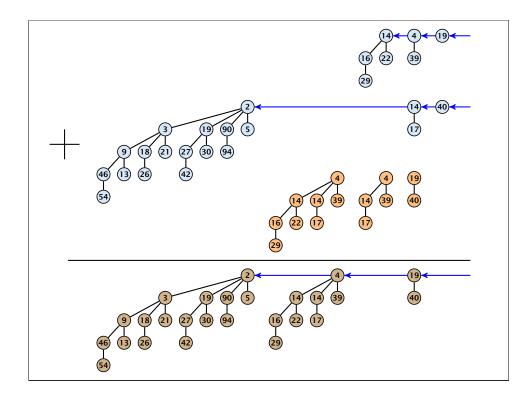
Then  $n = \sum_i 2^{k_i}$  must hold. But since the  $k_i$  are all distinct this means that the  $k_i$  define the non-zero bit-positions in the binary representation of n.

#### **Binomial Heap**

Properties of a heap with *n* keys:

- Let  $n = b_d b_{d-1}, \ldots, b_0$  denote binary representation of n.
- The heap contains tree  $B_i$  iff  $b_i = 1$ .
- Hence, at most  $\lfloor \log n \rfloor + 1$  trees.
- The minimum must be contained in one of the roots.
- The height of the largest tree is at most  $\lfloor \log n \rfloor$ .
- The trees are stored in a single-linked list; ordered by dimension/size.





# **Binomial Heap: Merge**

The merge-operation is instrumental for binomial heaps.

A merge is easy if we have two heaps with different binomial trees. We can simply merge the tree-lists.

Note that we do not just do a concatenation as we want to keep the trees in the list sorted according to size.

Otherwise, we cannot do this because the merged heap is not allowed to contain two trees of the same order.

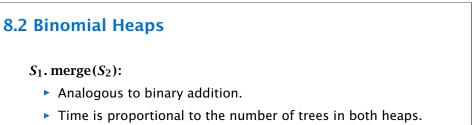
Merging two trees of the same size: Add the tree with larger root-value as a child to the other tree.



For more trees the technique is analogous to binary addition.

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8.2 Binomial Heaps



• Time:  $\mathcal{O}(\log n)$ .

#### 8.2 Binomial Heaps

All other operations can be reduced to merge().

#### S. insert(x):

- Create a new heap S' that contains just the element x.
- ► Execute *S*.merge(*S*′).
- Time:  $\mathcal{O}(\log n)$ .

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# 8.2 Binomial Heaps

S. delete-min():

- Find the minimum key-value among all roots.
- Remove the corresponding tree  $T_{\min}$  from the heap.
- Create a new heap S' that contains the trees obtained from T<sub>min</sub> after deleting the root (note that these are just O(log n) trees).

8.2 Binomial Heaps

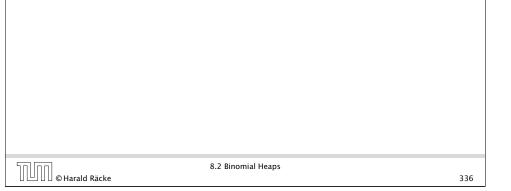
- ► Compute *S*.merge(*S*′).
- Time:  $\mathcal{O}(\log n)$ .

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# 8.2 Binomial Heaps

S. minimum():

- Find the minimum key-value among all roots.
- Time:  $\mathcal{O}(\log n)$ .



# 8.2 Binomial Heaps

- *S*. decrease-key(handle *h*):
  - Decrease the key of the element pointed to by *h*.
  - Bubble the element up in the tree until the heap property is fulfilled.
  - Time:  $O(\log n)$  since the trees have height  $O(\log n)$ .

#### 8.2 Binomial Heaps

S. delete(handle h):

- Execute *S*. decrease-key( $h, -\infty$ ).
- **Execute** *S*.delete-min().
- Time:  $\mathcal{O}(\log n)$ .

339

# 8.3 Fibonacci Heaps

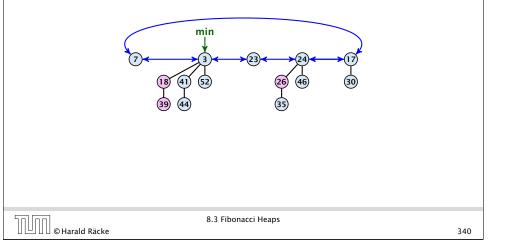
#### Additional implementation details:

- Every node x stores its degree in a field x. degree. Note that this can be updated in constant time when adding a child to x.
- Every node stores a boolean value x.marked that specifies whether x is marked or not.

# 8.3 Fibonacci Heaps

Collection of trees that fulfill the heap property.

Structure is much more relaxed than binomial heaps.

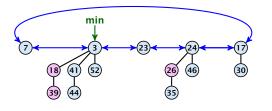


# 8.3 Fibonacci Heaps

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#### The potential function:

- t(S) denotes the number of trees in the heap.
- m(S) denotes the number of marked nodes.
- We use the potential function  $\Phi(S) = t(S) + 2m(S)$ .



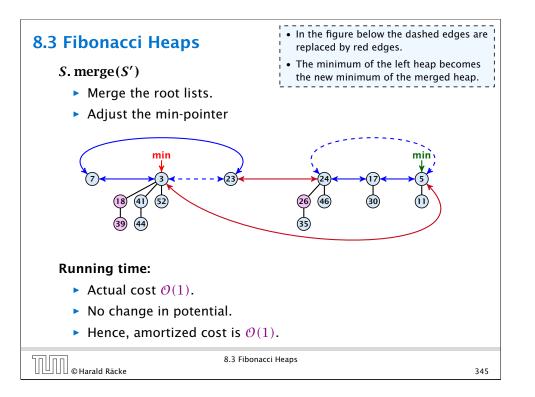
#### The potential is $\Phi(S) = 5 + 2 \cdot 3 = 11$ .



We assume that one unit of potential can pay for a constant amount of work, where the constant is chosen "big enough" (to take care of the constants that occur).

To make this more explicit we use *c* to denote the amount of work that a unit of potential can pay for.

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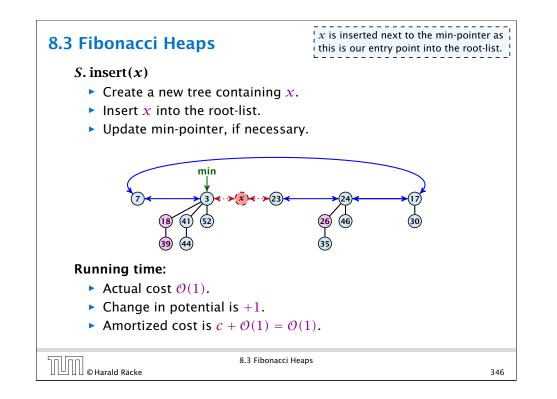


#### 8.3 Fibonacci Heaps

#### S. minimum()

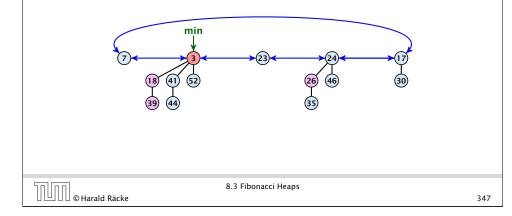
- Access through the min-pointer.
- Actual cost  $\mathcal{O}(1)$ .
- No change in potential.
- Amortized cost  $\mathcal{O}(1)$ .

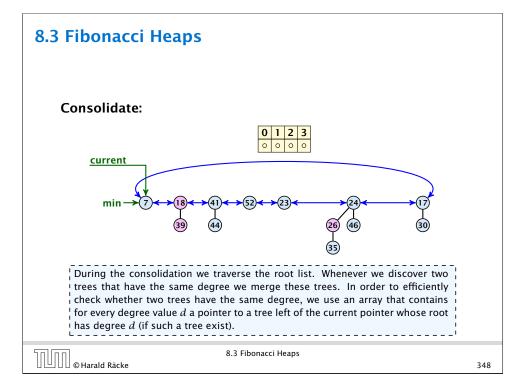
	8.3 Fibonacci Heaps	
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 $D(\min)$  is the number of children of the node that stores the minimum.

- S. delete-min(x)
  - ► Delete minimum; add child-trees to heap; time: D(min) · O(1).
  - Update min-pointer; time:  $(t + D(\min)) \cdot O(1)$ .



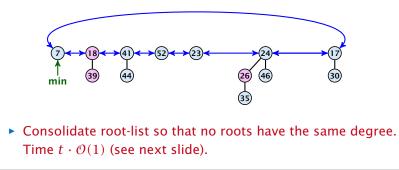


# 8.3 Fibonacci Heaps

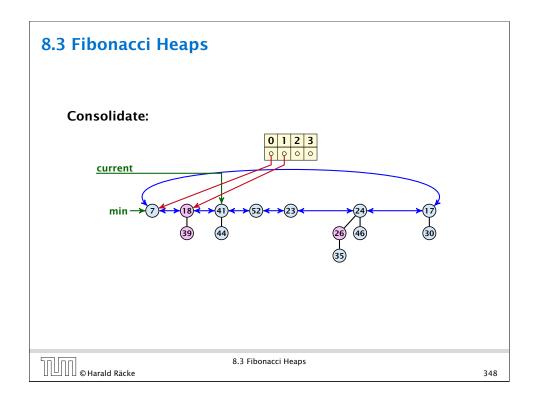
 $D(\min)$  is the number of children of the node that stores the minimum.

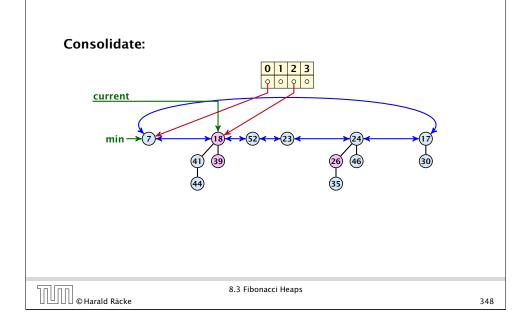
S. delete-min(x)

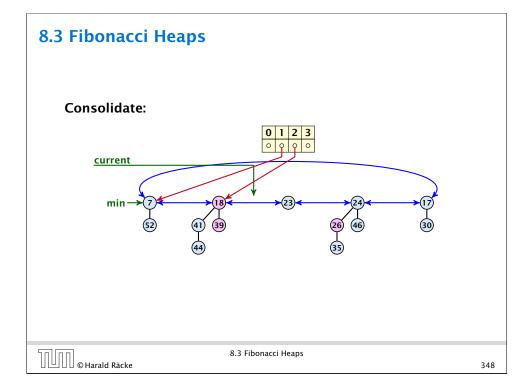
- ► Delete minimum; add child-trees to heap; time: D(min) · O(1).
- Update min-pointer; time:  $(t + D(\min)) \cdot O(1)$ .



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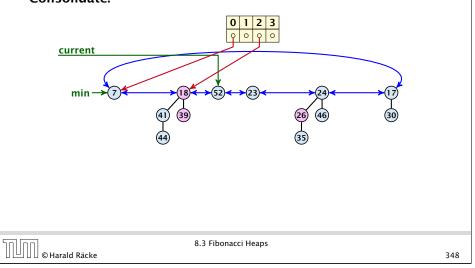


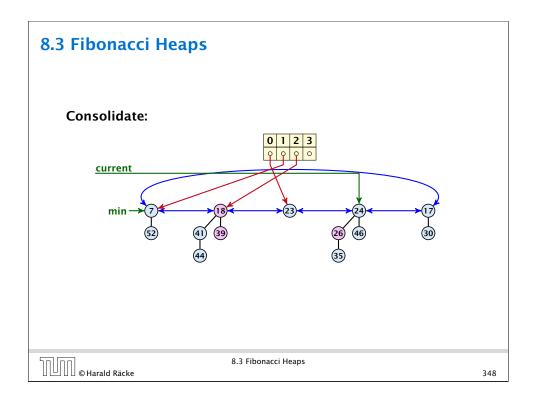


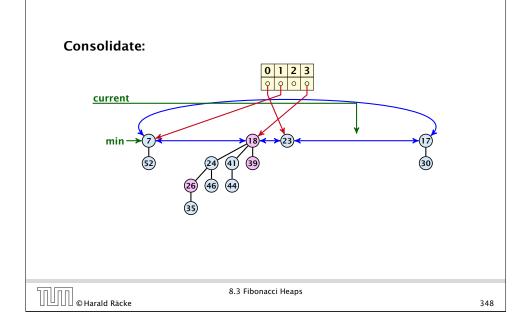


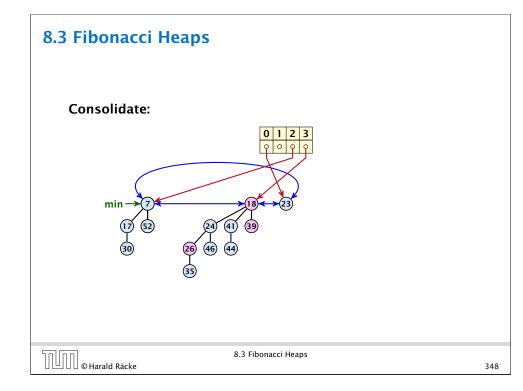
# 8.3 Fibonacci Heaps

#### Consolidate:









# 8.3 Fibonacci Heaps Consolidate:

# 8.3 Fibonacci Heaps

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t and t' denote the number of trees before and after the delete-min() operation, respectively.  $D_n$  is an upper bound on the degree (i.e., number of children) of a tree node.

Actual cost for delete-min()

• At most  $D_n + t$  elements in root-list before consolidate.

8.3 Fibonacci Heaps

• Actual cost for a delete-min is at most  $\mathcal{O}(1) \cdot (D_n + t)$ . Hence, there exists  $c_1$  s.t. actual cost is at most  $c_1 \cdot (D_n + t)$ .

#### Amortized cost for delete-min()

- $t' \leq D_n + 1$  as degrees are different after consolidating.
- Therefore  $\Delta \Phi \leq D_n + 1 t$ ;
- We can pay  $\mathbf{c} \cdot (\mathbf{t} D_n 1)$  from the potential decrease.
- The amortized cost is

 $c_1 \cdot (D_n + t) - c \cdot (t - D_n - 1)$ 

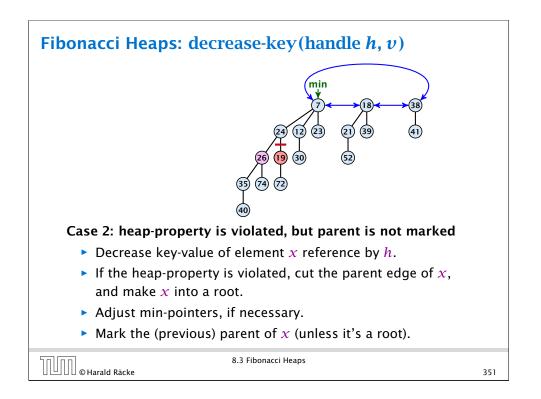
$$\leq (c_1 + c)D_n + (c_1 - c)t + c \leq 2c(D_n + 1) \leq \mathcal{O}(D_n)$$

for  $\textbf{\textit{c}} \geq \textbf{\textit{c}}_1$  .

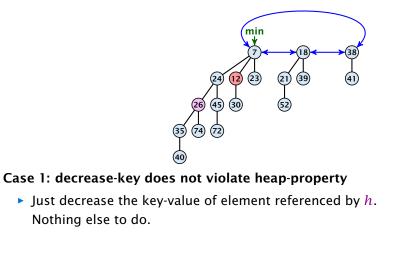
If the input trees of the consolidation procedure are binomial trees (for example only singleton vertices) then the output will be a set of distinct binomial trees, and, hence, the Fibonacci heap will be (more or less) a Binomial heap right after the consolidation.

If we do not have delete or decrease-key operations then  $D_n \leq \log n$ .

8.3 Fibonacci Heaps	
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# Fibonacci Heaps: decrease-key(handle h, v)



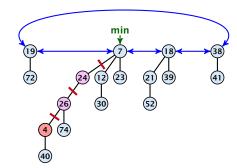
<section-header>Fibonacci Heaps: decrease-key (handle h, v)

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8.3 Fibonacci Heaps

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#### Fibonacci Heaps: decrease-key(handle *h*, *v*)



#### Case 3: heap-property is violated, and parent is marked

- Decrease key-value of element x reference by h.
- Cut the parent edge of *x*, and make *x* into a root.
- Adjust min-pointers, if necessary.
- Continue cutting the parent until you arrive at an unmarked node.

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# Fibonacci Heaps: decrease-key(handle h, v)

#### Case 3: heap-property is violated, and parent is marked

- Decrease key-value of element x reference by h.
- Cut the parent edge of *x*, and make *x* into a root.
- Adjust min-pointers, if necessary.
- Execute the following:
  - $p \leftarrow \text{parent}[x];$ while (*p* is marked)

(p is marked)

 $pp \leftarrow parent[p];$ 

cut of *p*; make it into a root; unmark it;

 $p \leftarrow pp;$ 

if p is unmarked and not a root mark it;

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8.3 Fibonacci Heaps

352

Marking a node can be viewed as a

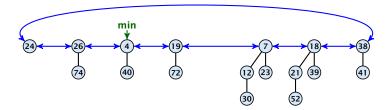
root. The first time x loses a child

loses a child it is made into a root.

first step towards becoming a

it is marked; the second time it

#### Fibonacci Heaps: decrease-key(handle *h*, *v*)



#### Case 3: heap-property is violated, and parent is marked

- Decrease key-value of element x reference by h.
- Cut the parent edge of *x*, and make *x* into a root.
- Adjust min-pointers, if necessary.
- Continue cutting the parent until you arrive at an unmarked node.

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8.3 Fibonacci Heaps

#### 351

#### Fibonacci Heaps: decrease-key(handle *h*, *v*)

#### Actual cost:

- Constant cost for decreasing the value.
- Constant cost for each of  $\ell$  cuts.
- Hence, cost is at most  $c_2 \cdot (\ell + 1)$ , for some constant  $c_2$ .

#### Amortized cost:

if  $c \geq c_2$ .

- $t' = t + \ell$ , as every cut creates one new root.
- $m' \le m (\ell 1) + 1 = m \ell + 2$ , since all but the first cut unmarks a node; the last cut may mark a node.

8.3 Fibonacci Heaps

- $\Delta \Phi \leq \ell + 2(-\ell + 2) = 4 \ell$
- Amortized cost is at most

#### $c_2(\ell+1) + c(4-\ell) \le (c_2-c)\ell + 4c + c_2 = O(1)$

trees before and after operation. m and m': number of marked nodes before and after operation.

t and t': number of

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#### **Delete node**

#### H. delete(x):

- decrease value of x to  $-\infty$ .
- delete-min.

#### Amortized cost: $\mathcal{O}(D_n)$

- $\mathcal{O}(1)$  for decrease-key.
- $\mathcal{O}(D_n)$  for delete-min.

החוחר	8.3 Fibonacci Heaps	
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# 8.3 Fibonacci Heaps

#### Proof

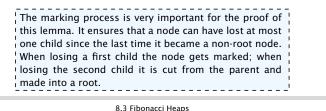
- When y<sub>i</sub> was linked to x, at least y<sub>1</sub>,..., y<sub>i-1</sub> were already linked to x.
- ► Hence, at this time degree(x) ≥ i − 1, and therefore also degree(y<sub>i</sub>) ≥ i − 1 as the algorithm links nodes of equal degree only.
- Since, then  $y_i$  has lost at most one child.
- Therefore, degree( $y_i$ )  $\ge i 2$ .

# 8.3 Fibonacci Heaps

#### Lemma 1

Let x be a node with degree k and let  $y_1, \ldots, y_k$  denote the children of x in the order that they were linked to x. Then

 $degree(\mathcal{Y}_i) \ge \begin{cases} 0 & if \ i = 1\\ i - 2 & if \ i > 1 \end{cases}$ 



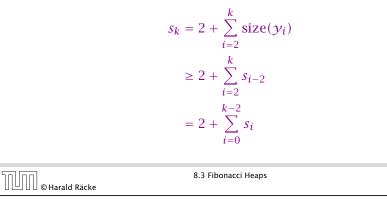
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# 8.3 Fibonacci Heaps

- Let s<sub>k</sub> be the minimum possible size of a sub-tree rooted at a node of degree k that can occur in a Fibonacci heap.
- $s_k$  monotonically increases with k
- ▶  $s_0 = 1$  and  $s_1 = 2$ .

Let x be a degree k node of size  $s_k$  and let  $y_1, \ldots, y_k$  be its children.



357

 $\phi = \frac{1}{2}(1 + \sqrt{5})$  denotes the *golden ratio*. Note that  $\phi^2 = 1 + \phi$ .

#### **Definition 2**

Consider the following non-standard Fibonacci type sequence:

$$F_{k} = \begin{cases} 1 & \text{if } k = 0\\ 2 & \text{if } k = 1\\ F_{k-1} + F_{k-2} & \text{if } k \ge 2 \end{cases}$$

Facts:

**1.**  $F_k \ge \phi^k$ . **2.** For  $k \ge 2$ :  $F_k = 2 + \sum_{i=0}^{k-2} F_i$ .

The above facts can be easily proved by induction. From this it follows that  $s_k \ge F_k \ge \phi^k$ , which gives that the maximum degree in a Fibonacci heap is logarithmic.

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<b>k=0</b> : $1 = F_0 \ge \Phi^0 = 1$ <b>k=1</b> : $2 = F_1 \ge \Phi^1 \approx 1.61$	<sub>b</sub> 2
$ \begin{array}{ll} k=1: & 2 = F_1 \ge \Phi^1 \approx 1.61 \\ k-2,k-1 \rightarrow k: & F_k = F_{k-1} + F_{k-2} \ge \Phi^{k-1} + \Phi^{k-2} = \Phi^{k-2} \end{array} $	F

k=2: 
$$3 = F_2 = 2 + 1 = 2 + F_0$$
  
k-1  $\rightarrow$  k:  $F_k = F_{k-1} + F_{k-2} = 2 + \sum_{i=0}^{k-3} F_i + F_{k-2} = 2 + \sum_{i=0}^{k-2} F_i$ 

החוחר	8.3 Fibonacci Heaps	
UUU © Harald Räcke		359

Priority	Queues	
Bibliogra	phy	
[CLRS90]	Thomas H. Cormen, Charles E. Leiserson, Ron L. Rivest, Clifford Stein: Introduction to algorithms (3rd ed.), MIT Press and McGraw-Hill, 2009	
[MS08]	Kurt Mehlhorn, Peter Sanders: <i>Algorithms and Data Structures — The Basic Toolbox</i> , Springer, 2008	
	aps are covered in [CLRS90] in combination with the heapsort algorithm in Chapter 6. Fi- eaps are covered in detail in Chapter 19. Problem 19-2 in this chapter introduces Binomial	
	in [MS08] covers Priority Queues. Chapter 6.2.2 discusses Fibonacci heaps. Binomial heaps with in Exercise 6.11.	
	8.3 Fibonacci Heaps	360

